

Survey Instrument
for
Survey of IUPUI Faculty Attitudes About Scholarly Communication

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Scholarly Communications

Please complete the survey below.

Thank you!

Thank you for responding to the invitation to participate in this survey of faculty attitudes and practices regarding scholarly publishing. The data collection is anonymous.

First, a few questions about you:

My faculty rank is:

- Full Professor or Full Librarian
- Associate Professor or Associate Librarian
- Assistant Professor or Assistant Librarian
- Clinical Faculty
- Lecturer
- Other

Other

My current position:

- is not tenure track
- is tenure track
- is as a tenured professor/librarian

In which department is your primary university appointment?

What is your discipline, defined as the discipline of your highest degree?

In which of the following areas do you publish or disseminate your work at the present time? (Select all that apply.)

- Humanities
- Social sciences
- Life sciences
- Health or Medical sciences
- Mathematics or Computer science
- Physical sciences
- Engineering
- Law
- Management, Business or Finance
- Information sciences, Library science, or Museum studies
- Art, Architecture or Design
- Music
- Other

Other

If your work is not well described in terms of these general categories please briefly describe your field.

YOUR CURRENT PRACTICES

Practices and norms vary across disciplines. The next questions ask about the ways in which you disseminate your work.

Reflecting on the past 5 years, please indicate all the ways in which you have disseminated your work, and estimate the number of items in each category.

	None	1-5 items	6-10 items	More than 10 items
Published a monograph	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Edited a collection of original material	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Contributed a chapter to an edited book	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Published an article in a peer-reviewed journal	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Published a peer-reviewed conference paper in a conference proceedings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Published a dataset in a journal	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Published an item in a magazine or periodical read by members of the university community, e.g., Chronicle of Higher Education	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Presented my research in the media	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Created a scholarly website or blog	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Created a learning object or other multi-media resource that can be used and re-used	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Had work in an adjudicated competition, exhibition, or installation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Posted a journal article on a personal or departmental website	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Submitted a scholarly work to an institutional repository	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Submitted a scholarly work to a disciplinary repository	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Gave a formal presentation at a conference	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Was invited to give a guest lecture, or keynote a conference	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please comment on your dissemination practices, if desired:

What is the norm in terms of authorship when you publish or disseminate your work?

- The majority of my publications/works are co-authored
- Some of my publications/works are co-authored
- None of my publications/works are co-authored
- Other (please specify):
(Select one)

Other (please specify):

When considering where to submit your work for publication, how important to you are each of the following factors?

	Very important	Important	Not important
Reputation of the book or journal publisher	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Reputation of the journal title	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Being able to submit my manuscript online	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Quality of the peer review	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Weight of the publication venue in tenure and promotion considerations in my department	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Readership or audience	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Journal impact factor	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Speed of publication	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
A paper issue or print volume is produced	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
A digital version is available	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
The full-text is accessible online to anyone who finds it	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
My ability to retain some of the rights (i.e. copyright)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
The ability to self-archive my work (i.e., to upload to a personal or institutional website)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please comment on your publishing choices, if desired:

To what extent do you agree or disagree that the existing tenure, merit and promotion processes in your department or faculty ...

	Strongly agree	Agree	Disagree	Strongly disagree	I don't know
lead me to publish in print publications, rather than electronic-only forms of dissemination	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
cause me to forego using alternative forms of dissemination	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
encourage new forms of high-quality (peer-reviewed) scholarly communication	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
are keeping up with the evolution of scholarly communication	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please comment, if desired, on promotion and tenure processes in your department or faculty:

With new forms of digital production emerging, are scholars in your field re-evaluating what constitutes a research contribution for purposes of tenure, merit and promotion? (Examples might include: the contribution of executable code to a collaborative database in genomics, the design of a serious game in education, a digital interactive model of a historic site, etc.)

- Yes, this is already happening
- Yes, we are talking about it
- I don't know
- No

Please comment, if desired, on changes in promotion and tenure related to digital scholarship:

SCHOLARLY PUBLISHING**Including Copyright and Peer Review**

How do you approach the copyright terms in your publication contracts?

- I don't examine the copyright terms of the contract -- I just sign it as is.
- I examine the copyright terms of the contract and usually sign it as is.
- I modify the copyright terms of the contract before signing.

In what ways have you modified the terms in your contracts with publishers?

- I have replaced the publisher's contractual terms with my own.
 - I have attached an addendum such as the CIC Addendum or the SPARC Author Addendum.
 - I have replaced the entire agreement with one of my choosing.
- (Select all that apply)

Please comment, if desired, on the practice of modifying publishing contracts:

Are there instances in which you have refused to sign a publication contract because of concern about the copyright terms, thereby foregoing the opportunity to publish in that journal?

- Yes
- No

Please comment, if desired, on your decisions regarding refusals to sign a publication contract:

In cases where you would prefer to retain some rights but do not negotiate with publishers to do so, what is the most important factor that prevents you from doing this?

- It's too much trouble to negotiate with the publisher.
- I don't have the knowledge to negotiate.
- I need to publish in the journal to get tenure, merit increases, or promotion and I am concerned that raising this issue could lead the publisher to refuse to publish my work.
- I haven't thought about this issue.
- Other (please specify)

Other factors relevant to decisions to not negotiate with publishers:

To what extent do you agree or disagree that scholars' management of copyright is ...

	Strongly agree	Agree	Disagree	Strongly disagree	I don't know
an important factor in the evolution of scholarly communication?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
an important factor in my own scholarly publishing?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
a topic colleagues need to discuss?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Are you concerned that transferring copyright to a publisher may limit your ability to ...

	Concerned	Not concerned	I haven't thought about it
put the materials on a departmental website or in an institutional repository?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
use the materials in a class that you or others are teaching without asking for permission from the publisher?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
make the materials available for course management systems (such as Oncourse) without asking for permission from the publisher?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
use or submit the materials to an anthology?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
create a derivative work based on the material, e.g., a multi-media work?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please comment, if desired, if you have other concerns about how transferring copyright limits the use of your work:

What factors would help you the most in negotiating or modifying the copyright terms of a publication contract? (Select up to three.)

- If I had precise instructions and examples of how to do it.
- If I had the advice and support of my institution.
- If I had the advice and support of my funder.
- If I knew I would not be penalized for refusing to sign the standard contract.
- Other (please specify):

Other (please specify):

Which of the following activities would you be willing to undertake? (Select all that apply.)

- I would be willing to encourage the publication boards of the societies/associations to which I belong to make their copyright policy more author-friendly.
- I would be willing to encourage the societies/associations to which I belong to seek alternative sources of revenue, rather than relying on subscription fees to support society activities.
- Before signing a publishing contract, I would be willing to strikeout and modify its language to change the contract from granting "exclusive" rights to the publisher to granting "non-exclusive" rights to the publisher.
- I would be willing to submit my scholarly output solely to publishers who require only the right of first publication and no other right.
- I would be willing to encourage publishers to experiment with business models in order to reduce or eliminate barriers, including subscription costs, to readers.
- None of the above.
- Other (please specify):

Other activities:

To what extent do you agree or disagree with the following?

	Strongly Agree	Agree	Disagree	Strongly Disagree	I don't know
I am happy to be a reviewer for journals/conferences or presses that I value.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Serving as a reviewer is part of my responsibility as a member of the community.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
I am receiving too many requests to review papers today.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
There are too many graduate students doing peer review in my field.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
The traditional peer review process is working well for me.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Open peer review systems where both authors and reviewers are identified result in high quality peer reviews in my field.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
There are serious problems with the peer review system today.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please comment, if desired, on the state of peer review:

Are you aware of any experiments underway in your discipline/field that explore questions of peer review?

- Yes
- No

If yes, could you please describe these experiments briefly?

OPEN ACCESS REPOSITORIES, POLICIES AND MANDATES

With widespread access to digital publishing and communication technologies and to the internet, alternative ways to disseminate scholarship are emerging. The next questions have to do with open access.

"Open access (OA) literature is digital, online, free of charge, and free of most copyright and licensing restrictions. OA is entirely compatible with peer review." - Peter Suber, A Very Brief Introduction to Open Access

Have you heard of Open Access (OA)?

- Yes
 No

Have you made any of your publications available on an open access basis?

- Yes, through self-archiving (i.e., posting to a website or submitting to an institutional or discipline repository)
 Yes, through publishing in an OA journal
 Yes, both through self-archiving and publishing in OA journals
 No

If no, why not?

Are you aware of whether there are open access (OA) journals being published in your field?

- Yes
 No

To what extent do you agree or disagree with the following statements?

	Strongly agree	Agree	Disagree	Strongly disagree	I don't know
Open access will dramatically change scholarly communications in my discipline or field in the next two years.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Making my work openly accessible to everyone with access to the internet - not only to those whose universities can afford the licensing fees - is a benefit to me.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Open access is likely to lead to an increase in the number of citations to my work.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
The principle of free access for all to the results of publicly funded research is important to me.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Open access threatens commercial publishers in my field.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Open access threatens the survival of my scholarly society(ies).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Library subscriptions are a critical source of revenue for scholarly societies and associations.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please comment, if desired, on the role and impact of open access:

A repository is a digital collection of publicly accessible research outputs from an institution, discipline or community. Have you heard of IUPUI's research repository, IUPUIScholarWorks?

- Yes
- No

Is any of your work in IUPUIScholarWorks?

- Yes
- No
- I don't know

What does or would motivate you to have your scholarly works included in IUPUIScholarWorks? (Select up to three reasons.)

- If it increases exposure of my previously-published work (e.g., post-prints).
- If it provides exposure for work not previously published (e.g., seminar papers, working papers).
- If it broadens the dissemination of academic research generally.
- If it is the norm in my academic department/faculty/unit.
- If it increases an academic institution's ability to negotiate with commercial publishers.
- If it increases my own commercial publishing opportunities.
- If it increases my promotion and tenure prospects.
- Other (please specify):
(Select up to three reasons.)

Other (please specify):

Have you ever come across citations to items in IUPUIScholarWorks in the process of doing your research?

- Yes
- No

Harvard University, MIT, Stanford University, University of Kansas and faculty at more than 100 other U.S. institutions have passed mandates or policies for faculty to routinely grant a limited, non-exclusive license to place their scholarly publications in a non-commercial publicly-accessible online repository. To what extent are you aware of these types of mandates and policies? (Select one.)

- Not aware
- Aware, but don't know much about them
- Knowledgeable
- Am actively involved in this issue

Should IUPUI faculty consider implementing a similar policy?

- Strongly agree
- Agree
- Disagree
- Strongly disagree
- I don't know

Why or why not? _____

COSTS

The following questions address the issue of costs associated with scholarly communications.

Have you ever paid page charges, processing fees or other charges, e.g., for illustrations, to have your peer-reviewed article or book published?

- Yes
- No

Where do you get the funds to pay these charges? (Select all that apply)

- From my research grant
- My department/faculty pays these charges
- I pay them myself
- Other (please specify):

Other (please specify): _____

Scholarly communication costs money. There will be costs associated with every option. To what extent do you agree or disagree with the following statements about scholarly publishing?

	Strongly agree	Agree	Disagree	Strongly disagree	I don't know
There are new opportunities in the digital era to simultaneously reduce costs and increase access to the scholarly literature.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
There is need for greater transparency in terms of the costs of publishing and disseminating scholarly books and journals.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
New business models for scholarly communication should be explored.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Scholars need to play a greater role in shaping the future of scholarly communications.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
There is need to reform the scholarly communications system.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please comment, if desired, on the costs of scholarly communications:

Who do you think should be responsible for the costs associated with maintaining the scholarly communication system? (Select all that apply)

- Publishers
- Those who fund the research
- The university should establish a special fund
- The university library through institutional subscriptions and licensing agreements (i.e., current model)
- Your department/faculty
- You, the author
- You, the reader
- Other (please specify):

Other (please specify):

Additional comments on who should be responsible for the costs of scholarly communications:

FINAL QUESTIONS

IUPUI offers many different dissemination services to the academic community. Which of the statements below best characterizes your level of awareness about each of these services?

	I haven't heard of this	I've heard of this, but I'm not involved	I'm actively involved in this
Digitizing and providing online access to historical and cultural collections	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Depositing Electronic Theses & Dissertations (ETDs)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Journal publishing software, e.g., OJS: Open Journal System	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Hosting and archiving services for audio/visual materials	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Mediated deposit of faculty publications in the institutional repository, IUPUIScholarWorks	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Data management planning and data sharing support	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Federal public access policy support and consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Digital humanities support and consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Author's rights and copyright consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Which of the following would be the most effective sources for keeping you updated about changes in scholarly communication? (Select up to three.)

- JagNews
- Departmental and faculty meetings
- Higher education press (e.g., Chronicle of Higher Education)
- Campus library/librarians
- Discipline-specific literature
- Ad hoc conversation with colleagues
- Academic conferences
- Other (please specify):
(Select up to three.)

Other (please specify): _____

Overall, how would you characterize the general state of the scholarly communications system in the fields in which you primarily disseminate your research?

- We are experimenting with a number of new ideas and forms
- There is considerable resistance to change
- The system works fine as it is
- Other (please specify):

Other (please specify): _____

Please add any comments about this survey or the issues it raises.

Thank you for completing this survey! For more about IUPUI's Digital Scholarship services, please visit:
<http://www.ulib.iupui.edu/digitalscholarship>